

## Cooperativity Between Low-Valent Iron and Potassium Promoters in Dinitrogen Fixation

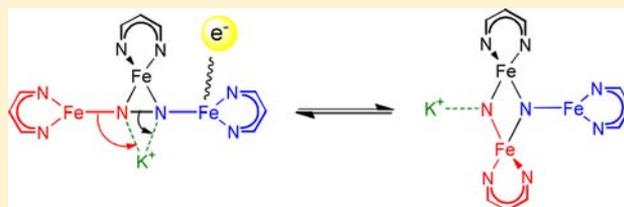
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## Supporting Information

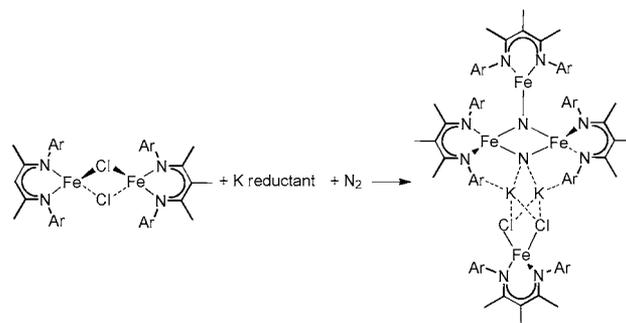
**ABSTRACT:** A density functional theory (DFT) study was performed to understand the role of cooperativity between iron- $\beta$ -diketiminato fragments and potassium promoters in  $N_2$  activation. Sequential addition of iron fragments to  $N_2$  reveals that a minimum of three Fe centers interact with  $N_2$  in order to break the triple bond. The potassium promoter stabilizes the  $N^{3-}$  ligand formed upon  $N_2$  scission, thus making the activated iron nitride complex more energetically accessible. Reduction of the complex and stabilization of  $N^{3-}$  by  $K^+$  have similar impact on the energetics in the gas phase. However, upon inclusion of continuum THF solvent effects, coordination of  $K^+$  has a reduced influence upon the overall energetics of dinitrogen fixation; thus, reduction of the trimetallic Fe complex becomes more impactful than coordination of  $K^+$  vis-à-vis  $N_2$  activation upon the inclusion of solvent effects.



## INTRODUCTION

Conversion of dinitrogen ( $N_2$ ) into useful materials is desired for uses such as the production of ammonia ( $NH_3$ ), one of the most important chemicals used in synthetic fertilizers.<sup>1</sup> However,  $N_2$  is difficult to activate, because of the inherent strength of the  $N\equiv N$  triple bond ( $\sim 235$  kcal/mol). The dominant industrial method for the reductive cleavage of  $N_2$  and formation of  $NH_3$  is the catalytic reduction of  $N_2$  with dihydrogen ( $H_2$ ) via the Haber–Bosch process. Because of its low cost, iron is commonly used to catalyze the Haber–Bosch process.<sup>2</sup> Potassium promoters improve the catalytic activity of iron surfaces, partially because of an increase in the rate constant for  $N_2$  dissociation on the iron surface.<sup>3</sup> In synthetic compounds, cooperative binding of  $N_2$  by iron and alkali metal ions has been shown to weaken the  $N-N$  bond more than iron alone, and this trend has been extended to chromium,<sup>4</sup> cobalt,<sup>5,6</sup> and nickel.<sup>7</sup> However, these systems do not cleave the  $N-N$  bond. Further progress in cooperative  $N_2$  activation requires better understanding of two key factors: (1) the reductive cleavage of the  $N_2$  bond, and (2) the role of promoters such as potassium.

Recently, Holland and co-workers reported a soluble iron- $\beta$ -diketiminato ( $=[Fe]$ ) system that can cleave  $N_2$  to give a bis(nitride) intermediate (Figure 1).<sup>11</sup> Relatively few Fe-nitride complexes have been reported in the literature that involve more than two Fe centers interacting with nitride atoms, and no others are derived from  $N_2$ .<sup>12,13</sup> The complex in Figure 1 arises from cleavage of  $N_2$ , and has three  $[Fe]$  fragments interacting directly with nitrides and a fourth  $[Fe]$  interacting indirectly through a series of Cl and K interactions. Although this system is not catalytic, the chemistry depicted in Figure 1 is



**Figure 1.** Structure of the soluble iron- $\beta$ -diketiminato-nitride complex formed upon cleavage of the  $N_2$  triple bond. Ar = 2,6- $C_6H_3Me_2$ .

a potential stepping stone to a better understanding of catalysts for solution-phase  $N_2$  fixation.

In this study, density functional theory (DFT) calculations are employed to understand the role of cooperativity between multiple iron- $\beta$ -diketiminato fragments. For example, how many Fe atoms are needed to cleave the  $N_2$  bond in the reduction step, and what intermediates are potentially involved? Theoretical calculations have greatly aided in understanding  $N-N$  bond cleavage.<sup>8–10</sup> The research reported here indicates that interaction of  $N_2$  with more metal centers increases the  $N-N$  activation, and thus  $N_2$  fixation benefits from cooperation between metals. The present calculations also yield insight into the effects of K promoters in  $N_2$  fixation.<sup>14</sup>

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## ■ COMPUTATIONAL DETAILS

Density functional theory (DFT) was used to facilitate comparison between the various ground states of the  $[\text{Fe}]_3\text{N}_2$  and  $[\text{Fe}]_3(\text{N})_2$  species. The Gaussian 09 software package<sup>15</sup> was used for geometry optimizations, and frequency calculations. The B3LYP/6-31+G(d) calculated geometries and properties of  $[\text{Fe}]_2\text{N}_2$  and  $[\text{Fe}]_3\text{N}_2$  species are similar to those previously reported from multiconfiguration self-consistent field (MCSCF) computations.<sup>16–18</sup> Additional continuum solvent corrections are computed in tetrahydrofuran (THF), using the SMD formulation, and are compared to the gas-phase energetics.<sup>19</sup> Since computations on the monometallic and bimetallic Fe- $\beta$ -diketiminato species have been reported previously,<sup>16–18</sup> the present contribution focuses on trimetallic species, the interactions of  $\text{K}^+$  on important intermediates in an array of binding modes, and the role of reduction.

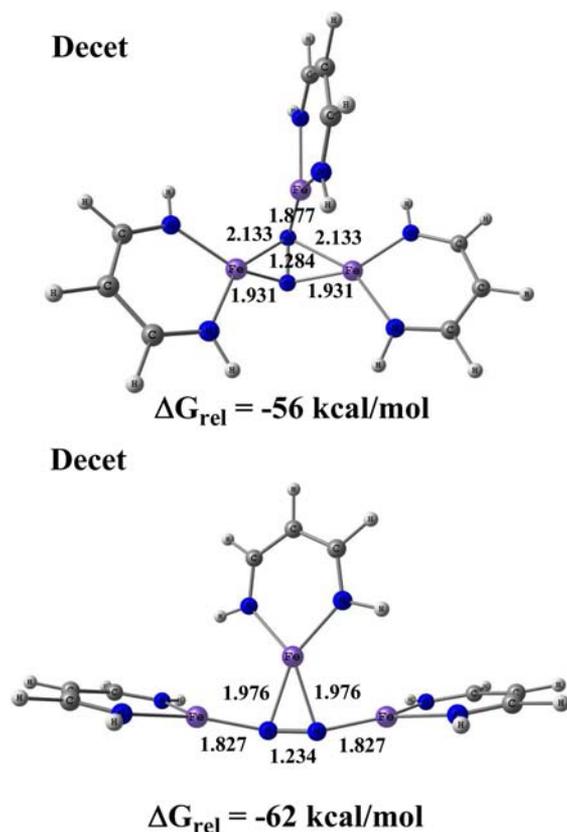
Various isomers of the  $[\text{Fe}]_n\text{-N}_2$  complexes were calculated in all plausible spin states. Free energies are quoted, relative to separated starting materials:  $(\text{iron-}\beta\text{-diketiminato})_n + \text{N}_2$  ( $n$  = number of  $[\text{Fe}]$  fragments involved in the reaction). Bond lengths are given in Ångstroms. Initial attempts to model the tetra-iron complex in the Figure 1 molecule with ONIOM techniques revealed that the substituents need to be modeled with full QM techniques, because of the importance of  $\text{K}^+/\pi$ -arene interactions. The ligands were thus truncated to  $\text{C}_3\text{N}_2\text{H}_5^-$  for computational expediency; in previous reports, we have found that this truncation gives iron-dinitrogen ( $\text{Fe-N}_2$ ) complexes with metrical and spectroscopic parameters that agree well with the experiment and faithfully represents the core electronic properties of larger  $\beta$ -diketiminato supporting ligands.<sup>6,16–18</sup> The studies here are limited to a single  $\text{K}^+$  ion and three Fe atoms, because the fourth Fe atom in the complex reported by Holland et al.<sup>11</sup> interacts indirectly with the  $\text{Fe}_3\text{N}_2$  core via  $\text{K}^+/\pi$ -arene interactions.

## ■ RESULTS AND DISCUSSION

This paper explores the effect of sequentially adding Fe- $\beta$ -diketiminato fragments to free  $\text{N}_2$  in various binding modes. The experimental route to the complex in Figure 1 starts from potassium reduction of an iron(II)- $\beta$ -diketiminato starting material to give a presumed iron(I) species that were modeled here as the unsaturated fragment iron- $\beta$ -diketiminato. Previous work revealed that three-coordinate iron(I) gives strong backbonding into the  $\pi^*$  orbitals of  $\text{N}_2$ , and binding of a second fragment enhances  $\text{N}_2$  bond lengthening.<sup>16,18</sup> A single  $[\text{Fe}]$  binds  $\text{N}_2$  in an end-on (E) fashion in a quartet spin state,  $\Delta G_{\text{rel}}(^4\text{E-FeN}_2) = -13$  kcal/mol. The lowest energy bimetallic  $\text{N}_2$  complex is end-on/end-on (EE) in a septet state, as seen experimentally for closely related compounds,<sup>18</sup> with  $\Delta G_{\text{rel}}(^7\text{EE-Fe}_2\text{N}_2) = -40$  kcal/mol. Binding of the second fragment is thus cooperative (defined here as the extra stabilization in a bimetallic complex beyond that expected from two monometallic interactions) by  $40 - (2 \times 13) = 14$  kcal/mol. The ligation of a second E-Fe increases the  $\text{N}_2$  bond length by 5%, from 1.127 Å to 1.187 Å.

The key advance here is to explore the interaction of more than two iron(I) fragments with  $\text{N}_2$ , and so potential binding modes were explored for a third  $[\text{Fe}]$  fragment interacting with  $\text{N}_2$ . Three isomers of trimetallic complexes were compared: end-on/end-on/side-on (abbreviated EES- $\text{Fe}_3\text{N}_2$ ), end-on/side-on/side-on (abbreviated ESS- $\text{Fe}_3\text{N}_2$ ), and all-side-on (abbreviated SSS- $\text{Fe}_3\text{N}_2$ ). Note that  $[\text{S-Fe}]$  indicates a side-on interaction of iron with  $\text{N}_2$ , which, to our knowledge, has never been observed experimentally in an iron complex; therefore, this study gives insight into the expected geometry of such an interaction. Various conformers within each family were explored; the lowest energy geometries are given in the figures.

The lowest energy neutral trimetallic isomer, Figure 2 (left), is calculated to be  $^{10}\text{EES-Fe}_3\text{N}_2$ ,  $\Delta G_{\text{rel}} = -62$  kcal/mol, with an

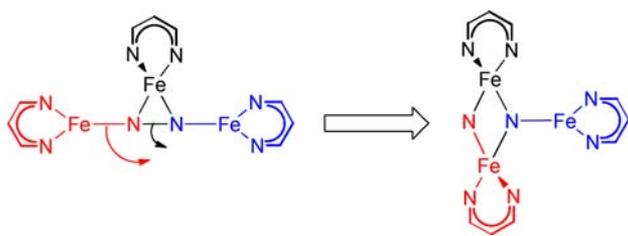


**Figure 2.** B3LYP geometries of  $^{10}\text{EES-Fe}_3\text{N}_2$  (top) and  $^{10}\text{ESS-Fe}_3\text{N}_2$  (bottom). The superscript numeral denotes the lowest energy multiplicity ( $2S + 1$ ). Bond lengths given in Å.  $\Delta G_{\text{rel}}$  is calculated relative to isolated  $[\text{Fe}]$  and  $\text{N}_2$ .

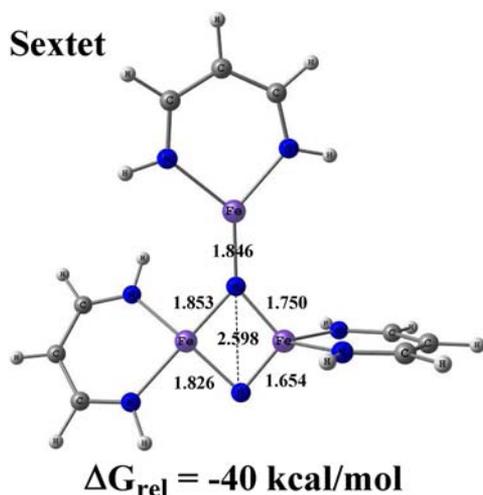
$\text{N-N}$  bond length of 1.234 Å. A  $^{10}\text{ESS-Fe}_3\text{N}_2$  linkage isomer (Figure 2, right),  $d_{\text{NN}} = 1.284$  Å, is only 6 kcal/mol higher than the calculated lowest energy isomer. SSS- $\text{Fe}_3\text{N}_2$  isomers were calculated to be thermodynamically unfavorable (by  $\geq 12$  kcal/mol), with respect to those in Figure 2, and, therefore, are not discussed further. Binding of the third  $[\text{Fe}]$  fragment to  $^7\text{EE-Fe}_2\text{N}_2$  to give  $^{10}\text{EES-Fe}_3\text{N}_2$  is found to release 22 kcal/mol, which is 5 kcal/mol less exergonic than the binding of a second  $[\text{Fe}]$  fragment to  $^4\text{E-FeN}_2$ . Importantly, both EES and ESS isomers are energetically accessible, and each lengthens the  $\text{N-N}$  bond significantly more than two iron fragments in  $^7\text{E-Fe}_2\text{N}_2$ .

Interestingly,  $^6\text{ESS-Fe}_2(\text{N})_2$ , which is an isomer with a cleaved  $\text{N-N}$  bond where  $(\text{N})_2$  denotes a bis(nitride) complex, was also present (Figure 3). This complex has a  $\text{N-N}$  distance of 2.598 Å and a relative  $\Delta G_{\text{rel}}$  of  $-40$  kcal/mol. One of the two nitrides in this species is attached to only two Fe atoms. This nitride nitrogen forms an apparent double bond to one of the Fe atoms, with  $d_{\text{FeN}} = 1.654$  Å, which is a relatively strained interaction that may explain why it is 16 kcal/mol less stable than  $^{10}\text{ESS-Fe}_3\text{N}_2$  (see Figure 4).

Transformation from  $\text{EES-Fe}_3\text{N}_2$  to  $\text{ESS-Fe}_3(\text{N})_2$  was explored by scanning the potential energy surfaces of low energy sextet, octet, and decet spin state pathways (Figure 3). The scans reveal low ( $< 5$  kcal/mol) barriers for the transformation on each of the three potential-energy surfaces (see Supporting Information). Thus, calculations imply that



**Figure 3.** Potential energy scans (red/black arrows) between EES- $\text{Fe}_3\text{N}_2$  (left) and ESS- $\text{Fe}_3(\text{N})_2$  (right) indicate small barriers to  $\text{N}_2$  scission.

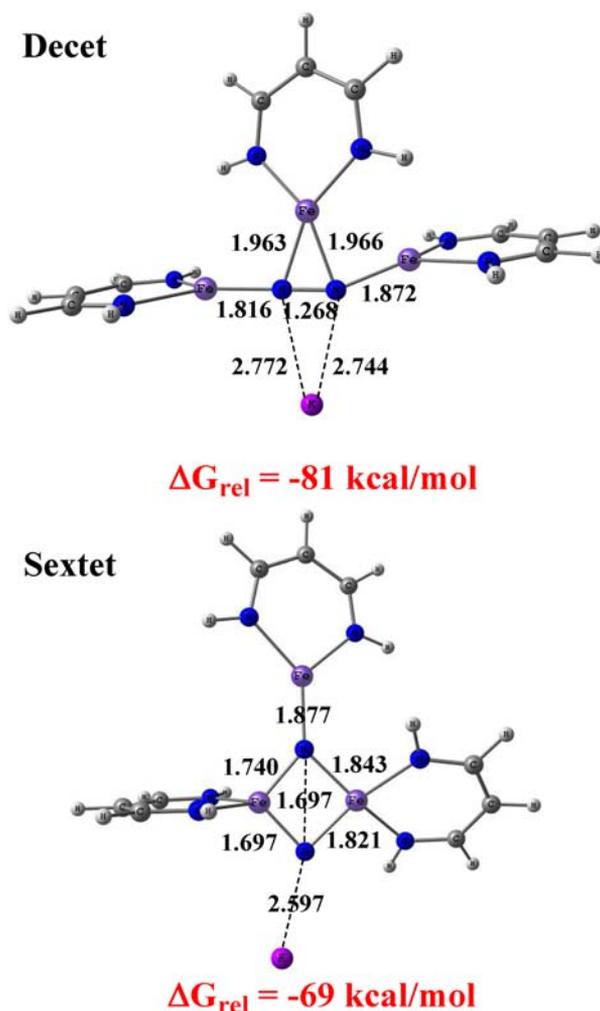


**Figure 4.** B3LYP calculated geometry of  ${}^6\text{ESS-Fe}_3(\text{N})_2$  with an broken  $\text{N}_2$  bond. (Bond lengths given in Å.)  $\Delta G_{\text{rel}}$  is calculated relative to isolated  $[\text{Fe}]$  and  $\text{N}_2$ .

isomerization could be kinetically rapid as a part of the reaction leading to  $\text{N}_2$  cleavage, but that the  $\text{N-N}$  cleavage is thermodynamically unfavorable for the neutral cluster.

Inspection of Figure 1 indicates several  $\text{K}^+$  directly interacting with the  $\pi$ -system of the aryl substituents and the nitriles.<sup>8</sup> Several potential roles of the potassium in the  $\text{N-N}$  cleavage can be envisioned:  $\text{K}^+$  may enforce geometrical constraints, stabilize the nitride ( $\text{N}^{3-}$ ), and/or increase the  $\pi$ -backbonding capacity of the Fe centers, as proposed for the heterogeneous catalyst.<sup>1</sup> To assess the impact of  $\text{K}^+$  on  $\text{N}_2$  fixation, a  $\text{K}^+$  ion was placed in several locations in proximity to the  $\text{N}_2$  moiety for the low-energy dinitrogen and dinitride structures (i.e.,  ${}^{10}\text{EES-Fe}_3\text{N}_2$  with an intact  $\text{N}_2$  and  ${}^6\text{ESS-Fe}_3(\text{N})_2$  with a broken  $\text{N}_2$  bond), respectively. The addition of  $\text{K}^+$  to  ${}^{10}\text{EES-Fe}_3\text{N}_2$  always rearranges upon DFT geometry optimization to the structure in Figure 5 (left). Binding of  $\text{K}^+$  to  ${}^{10}\text{EES-Fe}_3\text{N}_2$  is exergonic by 19 kcal/mol and the calculated lowest energy multiplicity of EES- $\text{Fe}_3\text{N}_2\text{K}$  remains a decet. The NN bond is elongated from 1.234 Å to 1.268 Å (3%) upon  $\text{K}^+$  addition. The addition of  $\text{K}^+$  to  ${}^6\text{ESS-Fe}_3(\text{N})_2$  yielded the geometry in Figure 4 (right), with the sextet remaining the lowest energy spin state. The addition of  $\text{K}^+$  to  ${}^6\text{ESS-Fe}_3(\text{N})_2$  is 11 kcal/mol more exergonic than the  $\text{K}^+$  addition to  ${}^{10}\text{EES-Fe}_3\text{N}_2$ . Thus, the addition of  $\text{K}^+$  stabilizes the bis(nitride) product more than the bridged  $\text{N}_2$  complex in the gas phase. However, the nitride complex continues to have one unusually short Fe–N bond.

Addition of  $\text{K}^+$  to  ${}^6\text{ESS-Fe}_3(\text{N})_2$  makes the resulting bis(nitride) (Figure 4) more energetically accessible, relative

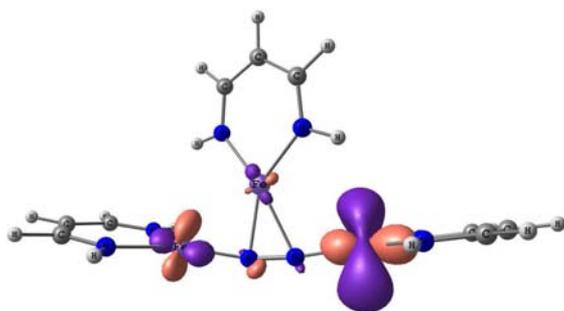


**Figure 5.** B3LYP structures resulting from the addition of  $\text{K}^+$  to  ${}^{10}\text{EES-Fe}_3\text{N}_2$  (top) and  ${}^6\text{ESS-Fe}_3(\text{N})_2$  (bottom).  $\Delta G_{\text{rel}}$  is calculated relative to isolated  $[\text{Fe}]$  and  $\text{N}_2$ .

to the dinitrogen isomers, with a free energy for the reaction  ${}^6\text{ESS-Fe}_3(\text{N})_2\text{K}^+ \rightarrow {}^{10}\text{EES-Fe}_3\text{N}_2\text{K}^+$  of only 12 kcal/mol, which is roughly half the comparable isomerization free energy in the absence of  $\text{K}^+$ . Analysis of calculated atomic charges (see the Supporting Information) suggests that greater stabilization of the  $\text{N}^{3-}$  ligand by  $\text{K}^+$  coordination is responsible for the diminution of the endergonicity in the nitrogen scission reaction.

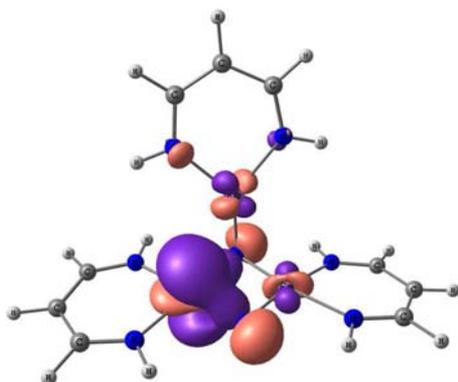
Finally, an electron was added to the  ${}^{10}\text{EES-Fe}_3\text{N}_2$  complex to mimic reduction by the fourth Fe(I) fragment in the experimental reaction. This yielded  $[\text{EES-Fe}_3\text{N}_2]^-$  as the lowest energy state, and resulted in only slight geometric distortion (root-mean-square deviation (rmsd) = 0.22 Å). The largest perturbation was the elongation of one Fe–N bond from 1.976 Å to 2.089 Å for the  $[\text{S-Fe}]$  fragment, which coincided with an increase of atomic charge on the nitrogen involved in the bond, from  $-0.19$  to  $-0.58$ . The added electron occupies a nonbonding, Fe-based orbital, Figure 6, consistent with the minor change in geometry upon reduction. Thus, addition of a single electron to the tri-iron structure has a minor impact on the degree of  $\text{N}_2$  activation by trimetallic EES- $\text{Fe}_3\text{N}_2$ .

On the other hand, the addition of an electron to  ${}^6\text{ESS-Fe}_3(\text{N})_2$  gave a significant effect. Reduction yielded  $[\text{ESS-Fe}_3(\text{N})_2]^-$  as the lowest energy state. The distance between the



**Figure 6.** Highest occupied molecular orbital (HOMO) for  ${}^9[\text{EES-Fe}_3\text{N}_2]^-$  complex in which the added electron occupies a nonbonding metal-based orbital.

nitride ligands is shortened from 2.598 Å to 2.587 Å. The added electron was found to occupy a bonding Fe–nitride orbital (Figure 7). A  $\text{K}^+$  ion was added to the reduced complexes

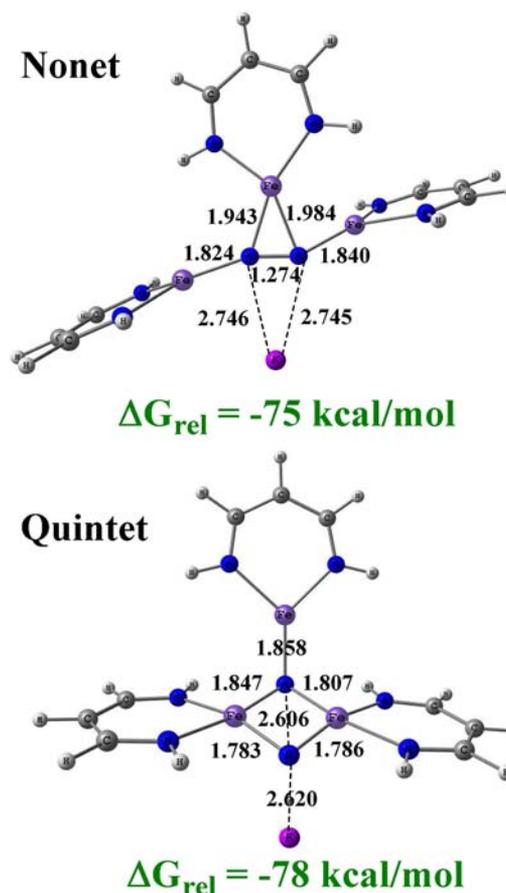


**Figure 7.** HOMO for  ${}^5[\text{ESS-Fe}_3(\text{N})_2]^-$  in which the added electron occupies a bonding metal-nitride based orbital.

${}^9[\text{EES-Fe}_3\text{N}_2]^-$  and  ${}^5[\text{ESS-Fe}_3(\text{N})_2]^-$  changing the overall charge on the cluster model to neutral; the resulting complexes were found to possess the same ground spin states as their anionic precursors (Figure 8).

The addition of  $\text{K}^+$  to the reduced species makes the  ${}^5[\text{ESS-Fe}_3(\text{N})_2\text{K}]$  cluster 3 kcal/mol more stable than  ${}^9[\text{EES-Fe}_3\text{N}_2\text{K}]$  (Figure 8). It also gives a structure in which the Fe–N bonds are closer to the experimental crystal structure where the Fe–N bonds proximal to coordinated  $\text{K}^+$  are shorter than the corresponding distal Fe–N bond lengths. Therefore, addition of three iron(I) fragments, a  $\text{K}^+$  ion, and an electron makes N–N cleavage favorable, presumably because of the stronger interaction of the  $\text{K}^+$  cation with the anionic nitride core.

While gas-phase simulations may more appropriately model an industrial nitrogen fixation catalyst, the inclusion of solvent effects is more pertinent to attempt to create a homogeneous version. Continuum solvation corrections in THF were thus computed and compared to the gas-phase energetics to assess the impact of solvation on the reactions of interest. The THF thermodynamics (Figure 9 (right, blue border)) are calculated to be similar to the gas-phase models with one interesting difference. The thermodynamics of  ${}^{10}\text{EES-Fe}_3\text{N}_2 \rightarrow {}^6\text{ESS-Fe}_3(\text{N})_2$  are changed little by the inclusion of solvent effects ( $\Delta G_{\text{gas}} = +22.0$  kcal/mol vs  $\Delta G_{\text{THF}} = +21.5$  kcal/mol; see Figure 9). Similarly, there is a mild solvent influence calculated for the  $\text{K}^+$  and  $\text{K}$ -ligated reactions (e.g.,  ${}^{10}[\text{EES-Fe}_3\text{N}_2\text{K}]^+ \rightarrow {}^6[\text{ESS-Fe}_3(\text{N})_2\text{K}]^+$ ,  $\Delta G_{\text{gas}} = +11.3$  kcal/mol,  $\Delta G_{\text{THF}} = +14.9$

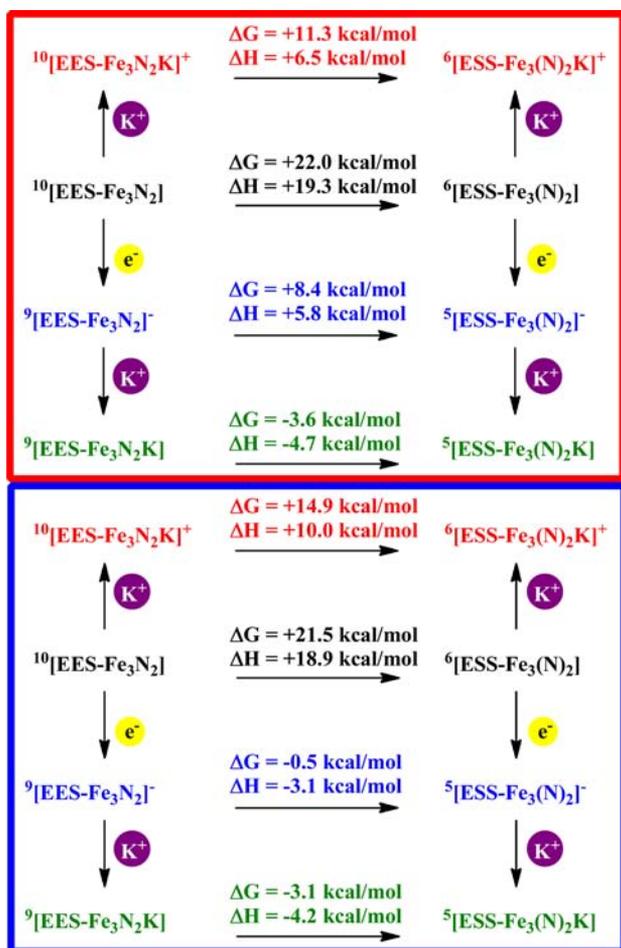


**Figure 8.** B3LYP structures resulting from addition of  $\text{K}^+$  to  ${}^9[\text{EES-Fe}_3\text{N}_2]\text{K}$  (top) and  ${}^5[\text{ESS-Fe}_3(\text{N})_2]\text{K}$  (bottom).  $\Delta G_{\text{rel}}$  is calculated relative to isolated  $[\text{Fe}]$ ,  $\text{K}^+$ , and  $\text{N}_2$ .

kcal/mol; see Figure 9). However, reduction upon the nitride-to-bis(nitride) transformation is significantly modulated by solvent, going from endergonic ( $\Delta G_{\text{gas}} = +8.4$  kcal/mol) to mildly exergonic ( $\Delta G_{\text{THF}} = -0.5$  kcal/mol) for  ${}^9[\text{EES-Fe}_3\text{N}_2]^- \rightarrow {}^5[\text{ESS-Fe}_3(\text{N})]^-$  (see Figure 9). Comparing the relative  $\Delta G$  values in gas ( $\Delta\Delta G_{\text{gas}}$ ) and solvent ( $\Delta\Delta G_{\text{THF}}$ ) indicates that  $\text{K}^+$  becomes less impactful ( $\Delta\Delta G_{\text{gas}}(\text{K}^+) = 10.7$  kcal/mol,  $\Delta\Delta G_{\text{THF}}(\text{K}^+) = 6.6$  kcal/mol) than reduction of the system ( $\Delta\Delta G_{\text{gas}}(\text{e}^-) = 13.6$  kcal/mol,  $\Delta\Delta G_{\text{THF}}(\text{e}^-) = 22.0$  kcal/mol) upon the inclusion of continuum THF solvent effects.

## CONCLUSIONS

The present density functional theory (DFT) simulations of the sequential addition of Fe- $\beta$ -diketimate fragments to dinitrogen are important because they show a reasonable series of metal binding and reduction events that cleave  $\text{N}_2$  to give a  $\text{Fe}_3(\text{N})_2\text{K}$  core like that in a recent experimental report.<sup>7</sup> In addition to this mechanistic insight, it reveals that three reduced iron centers acting in a cooperative fashion make  $\text{N}_2$  cleavage thermodynamically feasible. As summarized in Figure 9, the  $\text{K}^+$  promoter stabilizes the nitride ligand of the “fixed” tri-iron-bis(nitride) isomers by  $\sim 10$  kcal/mol, relative to the  $\text{N}_2$  isomers, and N–N cleavage is only favorable when an added electron and potassium are present. However, including a polar continuum solvent reduces the impact of the  $\text{K}^+$  on stabilizing the reduced tri-iron-bis(nitride). The results demonstrate that the cleavage of N–N bonds by a reduced iron fragment is greatly influenced by potassium, and also illustrate the impact



**Figure 9.** Ladder of calculated gas phase (red border) and solution phase (blue border) relative free energies ( $\Delta G_{\text{rel}}$ ) of dinitrogen (left) and bis(nitride) (right) species. Additions of  $\text{K}^+$  (red) and electron (blue) to the neutral species (black) are compared ( $\Delta G$ ). Also, the addition of  $\text{K}^+$  (green) to the reduced species (blue) is also calculated.

of cooperative Fe binding upon  $\text{N}_2$  activation, indicating that at least three iron fragments are needed to cleave  $\text{N}_2$ .

## ■ ASSOCIATED CONTENT

### 📄 Supporting Information

Additional metric data, atomic charges of all calculated species, and full citation for ref 15. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

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